OIPE
APR 23 2004
PATENT & TRADEMARK OFFICE
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Thornton, et al.

Serial No.: 10/729,252

Filed: December 5, 2003

For: Split Plat Assignment and Management System

Confirmation No.: Unassigned

Group Art Unit: Unassigned

Examiner: Unassigned

Docket No.: 190250-1510

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

This information disclosure statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98, and specifically:

☒ under 37 CFR 1.97(b), or
(within Three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)

☐ under 37 CFR 1.97(c) together with either a:
- ☐ Statement Under 37 C.F.R. 1.97(e), or
- ☐ a $180.00 fee under 37 CFR 1.17(p), or

(After the CFR 1.97(b) time period, but before the final office action or notice of allowance, whichever occurs first)

☐ under 37 CFR 1.97(d) together with a:
- ☐ Statement under 37 CFR 1.97(e), and
- ☐ a $180.00 petition fee set forth in 37 CFR 1.17(p).

(Filed after final office action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Enclosed is a check in the amount of $. Please charge $ to deposit account 20-0778. At any time during the pendency of this application, please charge any fees required to Deposit Account 20-0778 pursuant to 37 CFR 1.25. The Commissioner is hereby requested to credit any overpayment to Deposit Account No. 20-0778.

☒ Applicant(s) submit herewith *Form PTO 1449A - Information Disclosure Statement by Applicant* together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may or may not be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56. As required by 37 C.F.R. §1.98(a), a legible copy of each document is provided.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on the form PTO 1449 and is enclosed herewith.

The following rights are reserved by the Applicant(s): the right to establish the patentability of the claimed invention over any of the listed documents should they be applied as reference, and/or the right to prove that some of these documents may not be prior art, and/or the right to prove that some of these documents may not be enabling for the teachings they purport to offer.

This statement should not be construed as a representation that an exhaustive search has been made, or that information more material to the examination of the present application does not exist. Any statements or identifications regarding the relevance of any portion(s) of cited references should not be construed as a representation that the most relevant portion(s) have been identified, and the absence of such statements or identifications should not be construed as representations that there are no relevant portion(s). The Examiner is specifically requested not to rely solely on the materials submitted herewith. The Examiner is requested to conduct an independent and thorough review of the documents, and to form independent opinions as to their significance.

As cited in attached form PTO-1449, more than one year prior to December 5, 2003, Applicants made and used a workflow management system which operated to monitor a record maintenance center core team. The components of this workflow consist of a human resource module, a lost time module, a quality module, a change management request (CMR)/trouble module, a data cleanup module, and data cleanup modules. The human resource module provides forms for IDs, system and building access, and tracks status of all BellSouth and vendor employees. The lost time module enables management to track all system down time that effects productivity and reports it as an equivalent print credit. The quality module enables management to input and monitor drawing quality. The CMR/trouble module enables users to enter a report regarding a bug in the drafting program, and request a fix for the bug. The data cleanup modules are used to capture data related to error corrections, which can be district requests for correction or internally generated reports which identify critical database errors.

As cited in attached form PTO-1449, more than one year prior to December 5, 2003, Applicants made and used a workflow management system which operated to monitor a records maintenance center jobs workflow. The components of this workflow consist of a receipt module, an assign module, a complete module, and a report module. The receipt module receives jobs from engineering. The assign module assigns the jobs to a draftsman. The complete module marks the jobs as completed by the draftsman. And, the report module reports the completion of the job.

As cited in attached form PTO-1449, more than one year prior to December 5, 2003, Applicants made and used a workflow management system which operated to monitor a central office records maintenance center workflow. The components of the central office record maintenance center workflow consist of a receipt module, an assignment module, a complete module, and a report module.

As cited in attached form PTO-1449, more than one year prior to December 5, 2003, Applicants made and used a workflow management system which operated to provide engineers with a way to communicate loop makeup unit (LMU) requests to the records maintenance center and report the status of the request.

It is requested that the information disclosed herein be made of record in this application and that the Examiner initial and return a copy of the enclosed PTO-1449 to indicate the documents have been considered.

Respectfully Submitted,

THOMAS, KAYDEN, HORSTEMEYER & RISLEY, L.L.P.

By: _____
Jeffrey R. Kuester, Reg. No. 34,367

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Form PTO-1449

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

OIPE JC29 APR 23 2004 PATENT & TRADEMARK OFFICE

Attorney Docket No.	Serial No.
190250-1510	**10/729,252**
Applicant: **Thornton, et al.**	
Filing Date: **December 5, 2003**	Group: **not yet assigned**

U.S. PATENT DOCUMENTS

Examiner Initials	Item	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation Yes	Translation No

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, etc.)*

*	A	Applicants' statement regarding a workflow management system for an RMC core team in Information Disclosure Statement
*	B	Applicants' statement regarding a workflow management system for RMC jobs workflow in Information Disclosure statement
*	C	Applicants' statement regarding a workflow management system for CORMC workflow in Information Disclosure statement
*	D	Applicants' statement regarding a workflow management system for LMU requests in Information Disclosure statement
	E	Patent Application entitled "Drawing Conversion Assignment and Management System"; filed December 5, 2003; Serial No. 10/729,259.
	F	Patent Application entitled "Fiber Splice Assignment and Management System"; filed December 5, 2003; Serial No. 10/729,496.

* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

EXAMINER'S SIGNATURE:	DATE CONSIDERED:

OIPE JC109
APR 23 2004
PATENT & TRADEMARK OFFICE

CERTIFICATE OF MAILING

I hereby certify that the below listed items are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to:

Mail Stop:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

on 4/19/04.

Jeffrey R. Kuester

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The following is a list of documents enclosed:

Return Postcard
Information Disclosure Statement (which includes cited statements A & D)
PTO 1449 - citing A-F
Cited references E-F

Further, the Commissioner is authorized to charge Deposit Account No. 20-0778 for any additional fees required. The Commissioner is requested to credit any excess fee paid to Deposit Account No. 20-0778.